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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicants:

Grooms, James, *et al.*

U.S. Serial No.: 09/701,933

Filed: August 20, 2001

For: "CORTICAL BONE CERVICAL SMITH-
ROBINSON FUSION IMPLANT"

Previous Group Art Unit: 3738

Examiner: Not Yet Known

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS PAPER AND ALL DOCUMENTS
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NOVEMBER 15, 2002

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to 37 CFR §1.97(b)(3), Applicants wish to make of record the references cited on Form PTO 1449, copies enclosed, which may be considered material to the examination of the above-identified patent application. Two copies of PTO Form 1449, itemizing the disclosures are also enclosed.

Because this Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits, no fee is due.

However, if any fees are due, the Assistant Commissioner is authorized to charge any fees for the filing of this paper to the Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd. A duplicate copy of this document is being

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cofiled herewith. The Applicants wish to make of record the cited art as disclosed on the Form 1449 as attached hereto in duplicate.

Respectfully submitted,

McANDREWS, HELD & MALLOY, LTD.

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Dated: November 15, 2002